# MARINA PLASTIC SURGERY ORANGE TWIST INSTITUTE

4644 Lincoln Blvd., Suite 552 ◆ Marina del Rey, CA 90292 310.827.2653 ◆ FAX 310.823.1984

### PLEASE ANSWER ALL QUESTIONS

LEGAL NAME					
(As listed on your ID/Driv	ver's License) Last			First	Middle Initial
AGE	BIRTHDATE			PLEASE CIRCLE MA	LE / FEMALE
PATIENT'S SOCIAL SI	ECURITY #		PATIEN	NT'S DRIVER LICENSE#_	
ADDRESS					
	street				apt number
	city	;	state		zip code
HOME ()		CELL ()		WORK (	)
BEST CONTACT NUM	MBER (Please circle one)	HOME / WORK / CEL	L-PLEASE LIST CE	ELLULAR CARRIER:	
E-MAIL					
HOW DID YOU HEAD	R ABOUT OUR OFFICE	E? AIRLINE MAGAZINE /	COMMERCIAL /	BILLBOARD / ESPN / GO	OOGLE SEARCH / REAL SELI
		BSITE (PLEASE LIST WEBS			
PLEASE LIST IF REFE	ERRED BY: FRIEND / FA	AMILY / EMPLOYEE			
EMPLOYER				OCCUPATION	
EMPLOYER ADDRESS	S				
EVII DO I EK MODIKESI	street		city	state	zip code
NAME OF RESPONSI	RI F PARTY/(if other the	an patient)			
IVAIVIL OF KLSI ONSII	DELTARTIAN ONE tha	in patient)			
ADDRESS					
	reet		city	state	zip code
HOME ()		CELL ()			
REASON FOR CONS	ULTATION (LIST ALL	)			

### **INSURANCE INFORMATION**

### THIS FORM MUST BE COMPLETE IN ORDER TO VERIFY AND BILL YOUR INSURANCE CARRIER(S)

NAME OF PRIMARY INSURANCE			
POLICY #	GROUP#		
PATIENT STATUS (Please circle one) SINGLE / MARRIED / OTHER			
PATIENT EMPLOYMENT STATUS (Please circle one) EMPLOYED / FULL	L-TIME STUDENT /	PART-TIME STUDENT	
PRIMARY SUBSCRIBER INFORMATION: MUST BE COMPLETED	☐ Patient is the su	bscriber	
NAME OF SUBSCRIBER/RESPONSIBLE PARTY			
RELATIONSHIP TO PATIENT (Please circle one) SPOUSE / PARENT /	CHILD / OTHER_		
SOCIAL SECURITY #	BIRTHDA	ATE	
ADDRESS			
street	city	state	zip code
HOME ()			
EMPLOYER_	OCCUPA	TION	
SECONDARY COVERAGE & SUBSCRIBER INFORMATION: MUST	BE COMPLETED	$\Box$ Patient is the subscriber	
NAME OF SECONDARY INSURANCE			
POLICY #			
NAME OF SUBSCRIBER/RESPONSIBLE PARTY			
RELATIONSHIP TO PATIENT (Please circle one) SPOUSE / PARENT /			
SOCIAL SECURITY #		ATE_	
ADDRESSstreet	city	state	zip code
HOME () CELL ()_			
EMBLOVED	OCCLIDA	TION	

	FINANCIAL CONSENT			
(initial)	(initial) I voluntarily and knowingly request and consent to the services, treatments and/or procedures recommended by the Provider (aka 'the medical practice') and to all diagnostic and/or related methods deemed appropriate by the Provider I authorize The Provider to perform al such services, treatments and/or procedures. Further, I acknowledge and understand that The Provider may engage the assistance of others when performing such services, treatments and or procedures			
(initial)	I understand that the practice of cosmetic, plastic and reconstructive medicine is guarantees or warranties have been made to me concerning the results of the se recommended. I also understand that the use of anesthesia (if applicable) carried	ervices, treatments and/or procedures that have been		
(initial)	I understand and acknowledge that I am fully and completely responsible for the treatments and/or procedures performed and/or utilized by The Provider and rele or managed care benefit that I may have is based upon a contract between my ir myself, my spouse, and/or my employer. The Provider is NOT a party to this cor are provided to me. Therefore, I acknowledge that I am fully responsible for the services, treatments, and/or procedures provided to me, including but not limited diagnostic and/or related expenses.	evant others. I acknowledge that any insurance coverage insurance company or managed care company, and intract and the services, treatments, and/or procedures that payment of all sums owed to The Provider for the		
(initial)	(initial) IF SO STATED AND AGREED, the medical practice will conduct a reimbursement-seeking process as a courtesy to me, and yet I acknowledge that I will remain liable for any and all amounts not paid by the insurance company for any reason (this includes but is not limited to the insurance company declining coverage after initially approving). I acknowledge that it is my responsibility to provide the medical practice with my current insurance and or update them of any changes.			
(initial)	All returned checks will be subject to a \$50 returned check fee. Any account bala service shall accrue interest at the rate of 15% per year, and may be referred to a occurs, I understand that I will be liable for collections, costs, and all related expereferred to any attorney for collections, I also agree to be responsible for all costs therewith.	a collection company and/or attorney. In the event this enses. Further, in the event any unpaid account balance is		
all of the insurance	al practice's use and disclosure of my health information to my insurance company and managed care benefits due/paid to me for the services, treatments and/or prepayment directly to the medical practice for the costs associated therewith.			
account balance h (whether a cell pho	be contacted by the medical practice and/or Provider, and/or any collection agend as been assigned or referred by mail at any address that I provide to the medical pone or land line) at any facsimile number, email address or phone number (whethe ent of the medical practice.	practice and/or by facsimile, or email or phone number		
(initial)	CANCELLATION POLICY: As a courtesy to both your Provider and other clients, appointments 48 hours in advance, so that others may utilize this valuable time. equal to the amount of the visit's value of service will be charged and imposed.	·		
PATIENT SIGNAT	TURE (OR PERSONAL REPRESENTATIVE)	DATE		
PRINTED NAME PERSONAL REP	RESENTATIVE'S AUTHORITY (IF APPLICABLE)			

MARINA PLASTIC SURGERY
ORANGE TWIST INSTITUTE
MARINA OUTPATIENT SURGERY CENTER

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**Physician-Patient Medicare Opt-Out Contract** 

Patient Name:
"Physician" shall be understood to mean William Grant Stevens, M.D., Luis H. Macias, M.D., Ziyad Hammoudeh, M.D., Cory Felber PA-C, Carla Crespo, PA-C, Jennifer Tinelli, NP, Grant Stevens, M.D., Inc., Marina Plastic Surgery, Marina Outpatient Surgery Center, Orange Twist Institute and/or Comprehensive Skin Care. This agreement is between "Physician and/or Provider", whose principal place of business is: 4644 Lincoln Blvd, Suite 552, Marina del Rey, CA 90292 and the "Patient" and is a Medicare Part B beneficiary seeking services covered under Medicare Part B pursuant to Section 4507 of the Balanced Budget Act of 1997. Physician has informed Patient that Physician has opted out of the Medicare Program, and is not excluded from participating in Medicare Part B under Sections 1128, 1156, or 1892 or any other section of the Social Security Act. Physician agrees to provide general medical services to Patient. In exchange for the services, Patient agrees to make payments to Physician pursuant to the current Fee Schedule. (The Fee Schedule includes most, but not all, common services.) Patient also agrees, understands, and expressly acknowledges the following:
Please sign below to acknowledge your agreement:
<ul> <li>Patient agrees not to submit a claim (or to request that Physician submit a claim) to the Medicare program with respect to the Services, even if covered by Medicare Part B.</li> </ul>
<ul> <li>Patient is not currently in an emergency or urgent heath care situation.</li> </ul>
<ul> <li>Patient acknowledges that neither Medicare's fee limitations nor any other Medicare reimbursement regulations apply to charges for the Services.</li> </ul>
• Patient acknowledges that Medi-Gap plans will not provide payment or reimbursement for the Services because payment is not made under the Medicare program, and other supplemental insurance plans may likewise deny reimbursement.
Patient acknowledges that he/she has a right, as a Medicare beneficiary, to obtain Medicare-covered items and services

not opted-out.
Patient agrees to be responsible, whether through insurance or otherwise, to make payment in full for the Services, and acknowledges that Physician will not submit a Medicare claim for the Services and that no Medicare reimbursement will be provided.

from physicians and practitioners who have not opted-out of Medicare, and that the patient is not compelled to enter into private contracts that apply to other Medicare-covered services furnished by other physicians or practitioners who have

Patient understands that Medicare payment will not be made for any items or services furnished by Physician that would
have otherwise been covered by Medicare if there were no private contract and a proper Medicare claim were submitted.

PATIENT NAME (PRINT)	DATE	
PATIENT OR DESIGNATED REPRESENTATIVE SIGNATURE		
PHYSICIAN SIGNATURE	DATE	

\_\_\_\_\_\_

'ATIENT:				DOB	
MAIL:					
HONE:					
understand that under the Health Insural equests to receive confidential commun Plastic Surgery Associates, Orange Twist alternative locations. By completing and address above.  acknowledge and agree to the following  I have received and review questions and have had such within the notice.  Despite the possibility that me confidentiality, I consent to the The email address above is a	ications of my protected st Institute and Compressigning this form, I am:  ed the "Important Infor questions answered to be Practice communicating and protected to be practice communicating and protected to be practice communicating and protected to be p	health info hensive Sk requesting mation Abomy satisfact t be encrypg with me v	rmation from in Care ("Pr Practice cor out Email" retion; and ur oted or securia email.	n Grant Stevens actice") by alter mmunicate with notice; had an inderstand the in-	s, M.D., Inc., Marina rnative means or at me via email at the opportunity to ask formation contained e no assurances of
<ul> <li>I may withdraw this consent a</li> <li>Please mark the ways that you conser</li> </ul>				ctice.	
Best Time to Call Examples: morning, aftern		ergency only	, do not call, d		nessage
Method	Ok to Leave Voicemail	Messa	Leave ge with r Person	Preferred Contact Method(s)	Best Time to Call*
Call Work Phone	☐Yes ☐No	□Ye	s <mark>□</mark> No		AM / PM / Any
Call Cell Phone	☐Yes ☐No	□Ye	s <mark>□</mark> No		AM / PM / Any
Call Home Phone	☐Yes ☐No	☐Yes ☐No			AM / PM / Any
Ok to send e-mail?		Ok to sen	d Text Mess	ages?	
Email Appointment Reminders Email Medical /Schedule Info Email Office Specials/News	☐Yes ☐No ☐Yes ☐No ☐Yes ☐No	Text Med	ointment Rer cal /Schedu e Specials/N	le Info Staff	☐Yes ☐No ☐Yes ☐No ☐Yes ☐No
Ok to send Regular Mail?	_Yes _No		e Carrier: A	T&T, Boost, T-N	Mobile, Verizon
Please list your <b>Emergency Contact</b> :	Polotio	a a b i n		Contact Nu	mh au
varne	Relation	isnip		Contact Nu	mber
	1				
PATIENT SIGNATURE (OR PERSONAL REPRES	SENTATIVE)		DATE		

(IF APPLICABLE)

### DISCLOSURE AUTHORIZATION FORM FAMILY & FRIENDS

Protected health information is information about you, including demographic information, that may identify you and that relates to your past, present or future physical or mental health or condition and related health care services ("PHI"). As required by the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), Grant Stevens, M.D., Inc., Marina Plastic Surgery Associates, The Institute, and Comprehensive Skin Care ("Practice") has provided a Notice of Privacy Practices describing how it may use and disclose PHI. It is important to understand that any uses or disclosures outside those circumstances described in the notice will be made only with your written authorization including most disclosures to family members or friends. This means we will not disclose information to a person despite their relationship with you unless you have specifically authorized them to receive such information. Therefore, this authorization must be completed to identify those individuals who will be permitted to receive information about your medical care.

### **AUTHORIZATION**

I authorize the Practice to disclose my PHI to those individuals listed below (specify name, relationship and contact information if applicable):

	Name	Relationship	Contact Number	
The i	nformation that can be disclosed to the above	e named individuals includ	les:	
	All PHI			
	Only information relating to (specify such as	s appointments, payment,	etc.):	
	Only information pertaining to the time period	od from:	to	
	Other (specify):			
This	authorization will be in full force and effect for	two years unless otherwi	se indicated below.	
	Expiration Date:			

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The PHI is being disclosed for the following purpose (write "at my request" if there is no specific purpose or you do not wish to specify the purpose):

I understand that I have the right to revoke this authorization, in writing, at any time by sending such written notification to the Practice's Privacy Officer. I understand that a revocation is not effective to the extent that the Practice has relied on the use or disclosure of the PHI or if my authorization was obtained as a condition of obtaining insurance coverage and the insurer has a legal right to contest a claim.

I understand that, except as otherwise provided in this authorization, the Practice may use or disclose my PHI in accordance with Practice's Notice of Privacy Practices.

I understand that PHI disclosed by this authorization may be subject to redisclosure by the recipient and may no longer be protected by the Health Insurance Portability and Accountability Act or other applicable laws or regulations.

I understand that the Practice will not condition my treatment, payment or eligibility for benefits on whether I provide authorization for the requested use or disclosure except: (1) if my treatment is related to research, or (2) health care services are provided to me solely for the purpose of creating PHI for disclosure to a third party.

PATIENT SIGNATURE (OR PERSONAL REPRESENTATIVE)	DATE
PRINTED NAME	
PERSONAL REPRESENTATIVE'S AUTHORITY (IF APPLICABLE)	

### NOTICE OF PRIVACY PRACTICES ACKNOWLEDGEMENT FORM

I acknowledge and agree that I have been provided a copy of the Notice of Privacy Practices for Grant Stevens, M.D., Inc., Marina Plastic Surgery Associates, Marina Outpatient Surgery Center, Orange Twist Institute, Comprehensive Skin Care and Marina Dermatology Associates that describes how my protected health information must be protected and my rights to access and control such information. I acknowledge and agree that I have reviewed the Notice of Privacy Practices in its entirety and been given the opportunity to ask any questions regarding the use or disclosure of my protected health information and my associated rights. I acknowledge and agree that I have had all my questions answered to my satisfaction.

PATIENT SIGNATURE (OR PERSONAL REPRESENTATIVE)	DATE	
Printed Name		
FRINTED NAME		
PERSONAL REPRESENTATIVE'S AUTHORITY (IF APPLICABLE)		

#### FOR OFFICE USE ONLY

WE WILL MAKE A GOOD FAITH EFFORT TO OBTAIN A WRITTEN ACKNOWLEDGMENT OF RECEIPT OF THE NOTICE OF PRIVACY PRACTICES PROVIDED TO EACH PATIENT. IF A PATIENT IS UNWILLING OR UNABLE TO SIGN THIS ACKNOWLEDGMENT, THE GOOD FAITH EFFORTS TO OBTAIN SUCH ACKNOWLEDGMENT AND REASON WHY THE ACKNOWLEDGMENT WAS NOT OBTAINED MUST BE DOCUMENTED.

REASON:

## CANCELLATION POLICY for

### Office Procedures and/or Surgery

We take pride in the appropriate reservation of your procedural date and time! Our priority is to schedule procedures that can be attended to with the utmost of care.

Policy for non-surgical procedures:

- Payment for certain non-surgical procedures will be taken at the time of scheduling to secure your appointment (i.e....Thermage)
- Cancellations three (3) days prior to your procedure(s) will result in a charge to your account of 50% of that procedure (including Thermage, laser procedures, injectables, permanent makeup, facials, etc.).
- Cancellations (or simply Not Showing) on the day of the procedure, will result in a charge to your account of 100% of that procedure.
- Scheduled treatments on prepaid packages will result in debit of treatment from series if appointment is cancelled within 3 days.
- All balances must be paid prior to scheduling any future appointments.

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Regarding surgery scheduling, this requires careful planning and coordination between our office, the Surgery Center and their operating room staff, as well as your anesthesiologist, if applicable. In addition, special medical instrumentation is prepared and sterilized for each individual procedure. Therefore, please understand the importance of respecting our "Three week Cancellation Policy" which entails the following:

- Cancellation 15-21 days prior to your appointment date will result in a 25% loss of all fees
- Cancellation 8 14 days prior to your appointment date will result in a 35% loss of all fees
- Cancellation 7 days or less from your appointment date will result in 50% loss of all fees
- Cancellation 2 day or less from your appointment date will result in 100% loss of all fees

Payment for surgery (which includes the surgeon's fee, the O.R. facility fee, and the anesthesia fee) must be received in full by certified check or credit card, three (3) weeks prior to your surgery date. This would also apply for any post-operative care facility, in the event you had reservations.

Thank you for your cooperation and understanding in this matter, W. Grant Stevens, MD, FACS-Medical Director

### I have read, understand and accept the above policies.

Printed Name:	
Signature:	Date:
Witness:	Date:

**Effective from Date of Treatment** 

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### READ CAREFULLY AGREEMENT AS TO RESOLUTION OF CONCERNS

Patient/Guardian Signature	Physician Signature
witness to agree to these provisions.  In further consideration, the Physician agrees to exact Each party agrees that a conclusion by a specialty streated as supporting or refuting evidence of a frivolous or not Patient/Guardian and Physician agree that this Agree respective successors, assigns, representatives, personal not Patient/Guardian and Physician agree that these malpractice whether based on a theory of contract, negliger Patient/Guardian acknowledges that he/she has bee and to ask questions about it.  Patient/Guardian Signature	society affording due process to an expert will be neritless claim.  ement is binding upon them individually and their epresentatives, spouses and other dependents. e provisions apply to any claim for medical nce, battery or any other theory of recovery. In given ample opportunity to read this agreement
Should I, the Patient/Guardian, initiate or pursue a representation of the Physician, I agree to use as expert witnesses (with respect physicians who are board certified by the American Board physicians retained by me or on my behalf to be an expert medical specialty society the American Society for Aesthobligated to adhere to the code of ethics defined by the American agree to require any attorney I hire and any physicians.	to issues concerning the standard of care), only I of Plastic Surgery. Further, I agree that these witness will be members in good standing of the etic Plastic Surgery. I agree the expert will be erican Society of Plastic Surgeons.
I understand that I am entering into a contractual relationship in the cost and availability of medical care to patients and may additional consideration for professional care provided agree not to initiate or advance, directly or indirectly, any magainst the Physician.	ationship with the Physician for professional care. medical malpractice have an adverse effect upon result in irreparable harm to a medical provider. to me by the Physician, I, the Patient/Guardian, eritless or frivolous claims of medical malpractice
"Physician" shall be understood to mean William G Hammoudeh, M.D., Cory Felber, PA-C, Carla Crespo, Paulette McNeely, Grant Stevens, M.D., Inc., Marina Plasti Center, Comprehensive Skin Care, Orange Twist Institute of	PA-C, Jennifer Tinelli, NP, Rachael Martinez, c Surgery Associates, Marina Outpatient Surgery
"I", "Patient/Guardian" shall be understood to mean _	Name of patient or guardian

**Date of Signature** 

# MARINA PLASTIC SURGERY ORANGE TWIST INSTITUTE

### PAGE 11 OF 12 MEDICAL HISTORY

Name_		<u>Date</u>			
DATE OF YOUR LAST PHYSICAL EXAMINATION	<mark>v</mark>		<u>WEIGHT</u>	<u>HEIGHT</u>	
Primary Care Physician					
Address					
Phone Number			Fax Number		
SURGERY (OPERATIONS AND COSMETIC SUI	<mark>RGERY)</mark>				
TYPE 1.	DATE	COMPLICATIONS	OR DIFFICULTIES		
2					
3					
4					
5 6.					
MEDICAL PROBLEMS OR CONDITIONS NOW	UNDER TREATMEN	NT BY A PHYSICIA	AN		
EXPLAIN			<del></del>		
ADMISSIONS TO HOSPITAL					
REASON 1	DATE	COMPLI	CATIONS OR DIFFICULTIES		
2.					
3.					
4.					
MEDICATIONS, VITAMINS OR HERBAL SUPP	LEMENTS YOU TAI	KE NOW			
TYPE	DOSAGE/	AMOUNT IF KNOW	VN TAKE H	IOW OFTEN	
2.					
3.					
4					
CONSUMPTION OF THE FOLLOWING					
ASPIRIN	AMOUNT DAILY		AMOUNT WEEKLY		
ALCOHOL_	_AMOUNT DAILY		AMOUNT WEEKLY		
TOBACCO	AMOUNT DAILY		AMOUNT WEEKLY		
OTHERS_	_AMOUNT DAILY		AMOUNT WEEKLY		
BLEEDING PROBLEMS					
DO YOU BRUISE OR BLEED EASILY? YES NO	(WITH CUTS / TOO	TH EXTRACTIONS / I	PREGNANCY / SURGERY )		
EXPLAIN	DAY DAG 2 DADA A DA				
DO YOU HAVE A FAMILY HISTORY OF BLEEDING PRO					
DIFFICULTIES WITH LOCAL OR GENERAL AT					
EXPLAIN					
HAVE YOU EVER HAD A BLOOD TRANSFUSIO	<mark>ON?</mark> YES	NO	ARE YOU PREGNANT?	YES NO	
HAVE YOU EVER HAD, HAVE OR BEEN EXPO	SED TO (PLEASE O	CIRCLE YES OR N	<mark>o</mark> )		
YES NO INTRAVENOUS DRUGS YES NO INFECTIOUS DISEASES		YES NO YES NO	HEPATITIS HIV / AIDS		
YES NO TB		YES NO	LIVER TRANSPLANT		
IF YES TO ANY EXPLAIN					

EXPLAIN				
CHILDHOOD MEDICAL HISTORY (P	LEASE CIRCLE Y	ES, NO OF	R UNCERTAIN)	
HAD ALL KNOWN "BABY SHOTS"?	YES	NO	UNCERTAIN	
HAD POLIO IMMUNIZATION? HAD RHEUMATIC FEVER?	YES YES	NO NO	UNCERTAIN UNCERTAIN	
FAMILY HISTORY  ANY FAMILY HISTORY OF MEDICAL PROB	EMS OR ILLNESS?			
MOTHER				SISTER
			<del></del>	
FATHER_				BROTHER_
OTHER RELATIVE:				
·				
REVIEW OF SYSTEMS				
ANY MEDICAL PROBLEMS WITH ANY	OF THE FOLLOV	VING:		
NO HEAD, IF YES EXPLAIN				
NOEYES, IF YES EXPLAIN				
NOEARS, IF YES EXPLAIN				
NO THYROID, IF YES EXPLAIN				
NOLUNGS, IF YES EXPLAIN				
NO HEART, IF YES EXPLAIN				
NO BLOOD PRESSURE OR VESSELS	, IF YES EXPLAIN_			
NO DIGESTIVE SYSTEMS, IF YES EX	XPLAIN			
NOLIVER, IF YES EXPLAIN				
NO MUSCLES-BONES, IF YES EXPL	AIN			
NO REPRODUCTIVE ORGANS, IF YE	S EXPLAIN			
NO KIDNEY'S-BLADDER, IF YES EX	PLAIN			
NOUNSIGHTLY SCARS, IF YES EXP	LAIN			
NOOTHER, IF YES EXPLAIN				
NO DISEASE AFFECTING IMMUNE S	SYSTEM, IF YES EX	PLAIN		
ALLERGIES PLEASE LIST				
	·			OF MEDICAL RECORDS
PRACTICE NAME:				
PHYSICIAN:				
ADDRESS:				
BY REPRESENTATION OF SIGNAT TO:		<b>ARINA P</b> 4644	AUTHORIZE THE LASTIC SURGER 4 LINCOLN BLVD, ARINA DEL REY,	SUITE 552
		PHONE:	310.827.2653 *FA	X:310.823.1984
PATIENT SIGNATURE				DATE

PAGE 12 OF 12

HISTORY OF EPILEPSY OR MENTAL ILLNESS

PRINTED NAME

### NOTICE OF PRIVACY PRACTICES

### THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

The Health Insurance Portability and Accountability Act of 1996 ("HIPAA") is a federal statute that requires that all protected health information used or disclosed by Grant Stevens, M.D., Inc., Marina Plastic Surgery Associates, Comprehensive Skin Care, The Orange Twist Institute and Marina Dermatology Associates ("Practice") in any form, whether electronically, on paper, or orally, are kept confidential. Protected health information is information about you, including demographic information, that may identify you and that relates to your past, present or future physical or mental health or condition and related health care services ("PHI"). As required by HIPAA, this Notice of Privacy Practices ("Notice") describes how the Practice is required to maintain the privacy of your PHI and how it may use and disclose PHI. It also describes your rights to access and control your PHI.

### Use and Disclosures of PHI

Your PHI is subject to use or disclosure by the Practice's physicians, office staff, employees or other third parties that are involved in your care and treatment, including electronic disclosures. It is the Practice's responsibility to ensure that all uses or disclosures are made in accordance with HIPAA and as further detailed below in this Notice.

**Required Disclosures:** The Practice is required to disclose PHI to you directly when requested in accordance with your rights described below or the Department of Health and Human Services when investigating or determining the Practice's compliance with HIPAA.

#### No Authorization Required

**Treatment:** The Practice will use and disclose your PHI to provide, coordinate, or manage your health care and any related services. This includes the coordination or management of your health care with a third party, consultation between physicians relating to your care, or your referral for health care to another physician. For example, your PHI may be provided to a physician to whom you have been referred to ensure that the physician has the necessary information to provide you the proper care or to a pharmacy to fill a prescription.

**Payment:** The Practice will use and disclose your PHI, as needed, as it relates to payment for your health care services. This may include obtaining reimbursement information for the health care services you are receiving, confirming coverage or co-pay amounts under your health plan, billing and collecting from you, an insurance company, or a third party for your health care services, or obtaining precertification or preauthorization for specific health care services. For example, the Practice may send a claim for payment to your insurance company and that claim may contain PHI such as a code describing your diagnosis or medical treatment.

Health care Operations: The Practice will use and disclose your PHI, as needed, in order to support the business operations of the Practice. These activities include, but are not limited to, quality assessment and improvement activities, auditing functions, cost-management analysis, or training. For example, the Practice may use or disclose your PHI during an audit of its billing practice or HIPAA compliance. In addition, the Practice may use a sign-in sheet at the registration desk where you will be asked to sign your name. The Practice may also call you by name in the waiting room when your physician is ready to see you. The Practice may also contact you to provide appointment reminders or information about treatment alternatives or other health-related benefits and services that may be of interest to you. The Practice may also contact you for the Practice's fundraising purposes which you will have the opportunity to opt-out.

**Business Associates:** The Practice will use and disclose your PHI, as needed, to business associates. There are some services provided in the Practice through contracts with business associates (i.e., the Practice may disclose PHI to a company who bills insurance companies on the Practice's behalf to enable that company to assist in obtaining payment for the healthcare services provided). To protect your PHI the Practice will require its business associates to appropriately safeguard the information.

Other Uses or Disclosures: The Practice may also disclose your PHI for the following additional purposes without your authorization: when required by law (statute, law enforcement, judicial or administrative order); for public health activities (to public health or legal authorities charged with preventing or controlling disease, injury, disability, child abuse or neglect, etc., as required by law); when there is a belief you are a victim of abuse, neglect, or domestic violence; for health oversight activities (to public agencies or legal authorities charged with overseeing the health care system, government programs in which health information is necessary to determine eligibility or compliance, or to enforce civil rights); for judicial or administrative proceedings (pursuant to court order or subpoena if assurances are received); for law enforcement purposes; to funeral directors, coroners, or organ procurement organizations; for research; if there is a belief of a serious threat to health and safety; for certain essential government functions (national security, military, etc.); to comply with workers' compensation; and as part of a limited data set pursuant to a data use agreement for research, public health or health care operations. (PAGE 1 OF 2)

#### **AUTHORIZATION REQUIRED**

Any uses or disclosures outside the scope described above will be made only with your written authorization. Most uses or disclosures of psychotherapy notes, and of PHI for marketing purposes and the sale of PHI require an authorization. You may revoke such authorization in writing at any time and the Practice is required to honor and abide by that revocation, except to the extent that it has already taken actions relying on your authorization.

#### Your Rights for PHI

You have the right to obtain a paper copy of this Notice and you may exercise any of the rights described below by contacting the Practice and requesting to speak with the Privacy Officer.

You have the right to make reasonable requests to receive confidential communications of your PHI from the Practice by alternative means or at alternative locations.

You have the right to request restrictions on uses and disclosures of PHI for treatment, payment or healthcare operations, or disclosures to family members, other relatives, close personal friends, or any other person identified by you. Generally, the Practice is not legally required to agree to a requested restriction. However, if the request is made to restrict disclosure to a health plan for purposes of carrying out Payment or Health Care Operations and the PHI pertains solely to a health care item or service for which you have paid out of pocket in full, the Practice is legally required to agree to the requested restriction.

You have the right to read or obtain a copy of your PHI or choose to get a summary of your PHI in lieu of a copy. There are some reasons why the Practice may deny such a request which will be delivered to you in writing stating the reason. If a summary or a copy of your PHI is provided, you may have to pay a reasonable fee.

You have the right to request the Practice to amend or correct your PHI to the extent legally and ethically permissible. If the Practice denies the request, it will do so in writing and you will have the ability to file a statement of disagreement. You also have the right to amend your records by providing us with a written addendum with respect to any item or statement in your record that you believe to be incomplete or incorrect (limited to 250 words per alleged incomplete or incorrect item).

You have the right to receive an accounting of the disclosures of PHI by the Practice in the last six years but it will not include certain disclosures including those made for treatment, payment, healthcare operations or where you specifically authorized a use or disclosure.

### **Complaints**

You have recourse if you feel that the privacy of your PHI has been violated. If you feel there has been a violation, you have the right to file a complaint by submitting your complaint in writing by mail to the address above or by fax at the number above. You may also contact the Practice directly by telephone. For all complaints, please ask for or direct attention to the Privacy Officer. There will be no retaliation for filing a complaint. You may also file a complaint with or contact the Department of Health and Human Services, Office for Civil Rights at: Office of Civil Rights, DHHS, Region IX by mail at 90 7th Street, Suite 4-100, San Francisco, California 94103, by telephone at (415) 437-8310 or (415) 437-8311 (TDD), or by facsimile at (415) 437-8329.

### Effective Date

The Practice is required by law to maintain the privacy of your PHI, to provide you with notice of its legal duties and privacy practices with respect to PHI, and to notify affected individuals following a breach of unsecured PHI. This Notice is effective as of November 1, 2013. The Practice reserves the right to change the terms of this Notice and to make any such changes or amendments effective for all PHI that it maintains. The Practice will periodically post from time to time, and you may request a written copy of, any updated versions of this Notice.

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### **RETAIN FOR YOUR RECORDS**

### IMPORTANT INFORMATION ABOUT EMAIL

### THIS NOTICE DESCRIBES THE RISKS ASSOCIATED WITH UNENCRYPTED EMAIL. PLEASE REVIEW IT CAREFULLY.

#### **SECURITY RISKS**

Most standard email providers such as Gmail, Yahoo, Hotmail, etc. do not provide a secured or encrypted means of communication. As a result, there is risk that any protected health information contained in an email may be disclosed to, or intercepted by, unauthorized third parties. Additionally, email messages accessible through personal computers, laptops, or phones have inherent privacy risks especially when the email account is provided by an employer, when the account is not password protected, or the account is shared. Use of more secure communications, such as phone, fax or mail is preferred and always an available alternative.

### **RESPONSIBILITY**

When consenting to the use of email through such unsecured or unencrypted systems, you are accepting responsibility for any unauthorized access or disclosure to protected health information contained within the message. The Practice will not be responsible for unauthorized access of protected health information while in transmission and will not be responsible for safeguarding information once it is delivered. The Practice will take steps to ensure that any email with protected health information is protected prior to being sent to the requested address and will use the minimum necessary amount of protected health information when communicating with you.

#### **ADDITIONAL INFORMATION**

It is important to understand that emails will not be used to replace or facilitate communications between you and your physician and will not be considered private communications. There is no guarantee that the Practice will be actively monitoring the inbox so responses and replies sent to or received by you or the Practice may be hours or days apart. Email messages may be inadvertently missed or errors in transmissions may occur. The Practice will not be responsible for any issues caused by delays in communications. If you have an immediate need or an emergency situation, you must contact the Practice by telephone or dial 9-1-1 if applicable. Practice staff will be utilized to monitor the inbox in order to properly direct or respond to communications received. Therefore, any information considered sensitive should not be included in your communications.

At the Practice's discretion, any email message received or sent may become part of your medical record.

### RETAIN FOR YOUR RECORDS